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Date: March 29, 2002

Please Deliver To:

Name: Linda McDowell

Firm: Box DAC, Commissioner for Patents (USPTO)

Tel: (703) 308-9477

Fax: (703) 308-7749

Client/Matter #: 13307-209

From: Thomas M. Fisher, Reg. No.: 47,564

Total pages including cover page: 21

If all pages are not received, please contact Miriam E. Glaus at ext. 7392

Re: S.N.: 09/475,961

Filed: December 30, 1999

Applicant(s): Smith et al.

Atty. Dkt. No.: 9D-EC-19335

Ms. McDowell:

As discussed earlier this week with Miriam Glaus of our office, please see attached documents related to the above-referenced patent application.

Please confirm receipt of this fax and attached documents by return fax (314/621-5065).

If you have any questions, please contact me.

Thank you,

Thomas M. Fisher, Reg. No.: 47,564

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9D-EC-19335
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Smith et al. :
Serial No.: 09/475,961 : Art Unit:
Filed: December 30, 1999 : Examiner:
For: DELIVERY MANAGEMENT :
SYSTEM :

CERTIFICATE OF FACSIMILE TRANSMISSION


Date: March 29, 2002

I certify that documents listed below:

- Petition To Revive Patent Application For Unintentional Failure To Respond To Notice To File Missing Parts (37 CFR § 1.137(b)) (2 pages)
- Copy of Notice To File Missing Parts Of Application (1 page)
- Copy of Declaration And Power Of Attorney for Timothy Jay Smith, Terry Ray Stout, Gregory Todd Ellington and Jeffrey Allen Hume (1 page)
- Copy of the Associate Power Of Attorney And Change Of Correspondence Address (1 page), Change of Correspondence Address form PTO/SB/122 (1 page), Return Post Card (1 page) and stamped-received Post Card (1 page) as filed with the USPTO on December 18, 2001.
- Supplemental Submission Of Preliminary Amendment (2 pages)
- Copy of previously submitted Preliminary Amendment, including: stamped-received Post Card (1 page), Return Post Card (1 page), Rec Transmittal (1 page), Transmittal Form (1 page), Preliminary Amendment (5 pages)
- Certificate Of Facsimile Transmission (1 page)
- Fax Cover Sheet (1 page)

are being sent via facsimile transmission to Box DAC, Commissioner for Patents, Washington, D.C. 20231, Attention: Linda McDowell, 703/308-7749, on the date indicated above.

Respectfully Submitted,



Thomas M. Fisher
Registration No. 47,564
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St. Louis, Missouri 63102-2740
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Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/475,961	12/30/99	SMITH	T 2D-EC-19335

0212/0210

GEORGE L RIDEOUT JR
GENERAL ELECTRIC COMPANY
APPLIANCE PARK 2-225
LOUISVILLE KY 40226

2756

DATE MAILED:

02/10/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 6 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.18(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$. 130

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including recidence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 309-1202

THE UNITED STATES PATENT OFFICE IS REQUESTED TO IMPRESS ITS STAMP ON
THIS CARD AND PLACE SAME IN THE OUTGOING MAIL TO SHOW THE FOLLOWING
PAPERS HAVE BEEN RECEIVED.

Atty Dkt. No.: 9D-EC-19335
Applicant: Smith et al.
Serial No.: 09/475,961
Filed: December 30, 1999
For: DELIVERY MANAGEMENT SYSTEM

Enclosed:
Associate Power of Attorney and Change of Correspondence Address (1 pg.)
Change of Correspondence Address Form (1 pg.)

TMF/meg